

# For Over 35 Years We've Been Redefining the Independent Model

"Our advisors share a few things in common: They are fiercely independent, they are not beholden to a Wall Street behemoth, and they all put their clients first."

Schedule a Call Today » (//www.mutualsecurities.com/contact)

Founded in 1982, Mutual Securities is a premier brokerage firm offering general securities services to individual and institutional investors through a network of independent advisors.



(<u>https://www.segunitiessbas.wigges.confre</u>)ing hybrid services to dual registrants for over 35 years. We offer a variety of tailored-made solutions that adapt to the needs of RIA practices and their clients.

Learn More » (/hybrid)

## **ADVISOR INFORMATION**



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(https://www.mutualsecurities.com/)

# CLEARING

Brokerage transactions are cleared through National Financial Services, LLC, a Fidelity Investments company, member NYSE, SIPC.



## RIA

We are experts in serving RIAs and offer a multitude of hybrid solutions.



### SUPPORT & TRANSITION

Our back office, compliance and transition teams cater to your unique business and offer a superior level of support.



## **BUSINESS DEVELOPMENT CONTACT**

For more information about joining Mutual, please call us today at (800) 525-3600.

# **CLIENT INFORMATION**





#### SERVICES FOR CLIENTS

We have been successfully fulfilling our clients investment objectives for over 35 years.

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### **CLEARING FIRM**

National Financial Services, LLC is a Fidelity Investments company, member NYSE, SIPC.



#### ACCOUNT PROTECTION

All clients enjoy account protection through a combination of private insurance and SIPC (but not against market losses).



### **ONLINE ACCESS**

View your account activity, access statements or trade online through our state-ofthe-art client system.



We are proud of our privacy policies and business continuity plans. We are committed to maintaining your trust and confidence.



References to "Mutual Securities" are references to Mutual Securities, Inc. of California doing business as Mutual Securities, Inc. Member FINRA (http://www.finra.org/), SIPC (https://www.sipc.org/).

Mutual Securities Institutional Trading is a service of Mutual Securities, Inc. Mutual Advisors, LLC is a SEC registered investment adviser affiliated with Mutual Securities, Inc.

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CONTENT/UPLOADS/2019/05/2019-BUSINESS- CONTINUITY-STATEMENT.PDF)
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(HTTPS://WWW.MUTUALSECURITIES.COM/FINRA-PUBLIC-DISCLOSURE/)

FINRA'S BROKERCHECK (http://brokercheck.finra.org/firm/summary) /13092)

#### Logins

CLIENT LOGIN (HTTPS://WWW.WEALTHSCAPEINVESTOR.COM/)

REP LOGIN (HTTPS://NEXUS.MUTUALSECURITIES.COM/)

#### Contact Us

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