



ADP Strategic Plan Services will take on the burden of fiduciary investment management for you.

Put ADP Strategic Plan Services to work for you today.

## Make the most of your business' retirement plan.

With ADP Strategic Plan Services (SPS), you can rely on us to provide investment services that are designed to fit the needs of your plan and mitigate the risk from managing fiduciary obligations to offering a diversified fund menu.

Being a plan fiduciary comes with the legal responsibility of making investment choices for your plan. This important task is critical to your plan's success and your employees' retirement readiness.

ADP Strategic Plan Services, LLC (SPS) takes on this role for you — providing carefully selected fund lineups and monitoring the performance of your plan's investment options in the best interest of the plan.

NAME

EMAIL

PHONE

COMPANY NAME

# OF EMPLOYEES

ZIP CODE

I wish to be contacted by an ADP representative

## Investment Management Services For Your Plan

When it comes to investment selection and monitoring, SPS can help you meet your fiduciary responsibilities. We provide fiduciary investment

Current ADP Client?

Yes  No

Submit

We respect your **privacy**.

management services under Section 3(38) of ERISA to help you manage the risk and challenges associated with investment monitoring and selection.

With SPS you get the investment oversight and experience your plan needs. We act as the investment manager for your plan and assume full investment discretion for selecting, monitoring, and if necessary, replacing investment options in your plan's lineup.

## Investment Fiduciary Services

SPS helps take the complexity out of plan investment selection and oversight by:

- ✓ Building investment lineups based on the investment tier and guideline that you select
- ✓ Monitoring the investment lineup and, if necessary, replacing and/or removing any funds that do not meet its criteria
- ✓ Determining mapping strategies for transfers from existing to new investment funds

**ADP Strategic Plan Services** can help ease the fiduciary burden of selecting and monitoring your plan's investment lineup.

## Protection from Fiduciary Risk

When it comes to selecting plan investment options, you have a legal responsibility to follow the fiduciary standards outlined in the Employee Retirement Income Security Act (ERISA). This is a high standard that requires certain levels of expertise in areas like investment selection and monitoring, among others.

Hiring SPS as your investment manager also reduces the risks associated with certain third-party, ERISA-related lawsuits pertaining to investment selection and monitoring. In FY '18, the EBSA recovered \$1.6 billion for the benefit of plans, participants, and beneficiaries.<sup>1</sup> This included:



\$807.7 million  
from over 1,700  
civil investigations<sup>1</sup>



\$443.2 million from  
resolving informal  
participant complaints<sup>1</sup>

## ADP Strategic Plan Services FAQs

### **What is ADP Strategic Plan Services, LLC?**

ADP Strategic Plan Services, LLC is an affiliate of ADP, LLC (ADP) and a separate, indirect subsidiary of Automatic Data Processing, Inc. that provides fiduciary investment management services including the analysis, selection, monitoring, and if necessary, replacement of investment options on behalf of employer-sponsored retirement plans.

### **Why was ADP Strategic Plan Services created?**

### **What happens if I do nothing or elect not to use ADP Strategic Plan Services?**

### **How are the investment fund lineups available through ADP Strategic Plan Services developed?**

## 1. EBSA Fact Sheet “EBSA restores Over \$1.1 Billion to Employee Benefit Plans, Participants and Beneficiaries”

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