# (https://www.yalecapitalcorp.com)

Ranked in the *top five independent registered investment advisors* servicing high net worth investors in 2015*, and *#1 against direct competitors***, according to Investment News.

**After decades advising in the private wealth divisions of major investment banks, it is our conclusion that your financial interests are best met by an independent advisor. As a registered investment advisor, the principals of Yale Capital Corporation formed our team to align our interests with yours.**

## Guidance and Protection You Need

As an independent advisor, our focus is to offer high-net-worth individuals an objective perspective — with the ability to shield you from unnecessary costs, conflicted investment products, and inefficient management.

## Attention and Expertise You Deserve

Trained by leading academicians in Modern Portfolio Theory—at the Yale School of Management—our chief investment officer oversees all client portfolios, whether our relationship with you is 20 years in, or just beginning.

## Reporting That Matters to You

You will receive performance reports on a quarterly basis and at meetings with your advisor. Through third-party custodian, Charles Schwab & Company[1], you can verify performance. At your request, our analyst will also create customized, user-friendly reports to monitor your investments and, with your approval, can coordinate and share these with your outside counsel to allow for pro-active and timely planning.

## A Partner You Can Grow With

Get to know how Yale Capital Corp. constantly strives to give each generation of your family sound investment guidance and services, as your partner in protecting, sustaining and growing your wealth. Contact us today.

# *Our Services*

### TAX EFFICIENT ASSET MANAGEMENT

Extensive practical experience in serving affluent investors allows us to offer time-tested solutions to issues that affect large, tax-paying portfolios.

### RELATIONSHIP-FOCUSED CLIENT SERVICE

Day-to-day cash management, trading and rate negotiations are some of the ways we complement our investment management offering.

### MLP (MASTER LIMITED PARTNERSHIP) MANAGEMENT

YCC's MLP management approach is customized according to each client's risk profile, and we are committed to providing clear, experience-based, and objective MLP investment advice.

***"We can extrapolate from the study that for the long term individual investor who maintains a consistent asset allocation and leans toward index funds, asset allocation determines about 100% of performance."***

*- Roger Ibbotson, Ph.D. Professor of Finance -Yale University. Ibbotson Associates. Author: The True Impact of Asset Allocation on Returns*

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# *Get in Touch*

**First** **Last**

**Phone** **Email**

**Your message**

**S E N D**



Investment News (June 1, 2015)

The list ranked firms headquartered in the United States, in terms of assets under management (AUM) as reported on form ADV to the Securities and Exchange Commission as of most recent filing between January 1- May 1 2015. To qualify for inclusion Investment News included only firms that: provided advisory services in the most recently completed fiscal year; were not affiliated with a broker-dealer or engaged in broker-dealer services; had no more than 50% of AUM attributable to pooled investment vehicles; had no more than 25% of AUM attributable to pension and profit-sharing plans, corporations or other

businesses; do not receive commissions; and provide financial planning services. For more RIA Data Center information please refer to http://data.investmentnews.com/ria/. * The following filters were applied- Advisors with High Net Worth assets totaling 75% or more of firm AUM and average discretionary account AUM is between $10,000,000-99,999,999,999. List is filtered by discretionary AUM. ** The following filters were applied- Advisors with High Net Worth assets totaling 75% or more of firm AUM, number of accounts is between 1-100, number of employees is between 1-20, and average discretionary account AUM is between $10,000,000-99,999,999,999. List is filtered by discretionary AUM. Disclosure

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