



INVESTMENT MANAGEMENT SERVICES *for* MUNICIPAL BOND ASSETS

WELCOME

GOLDEN GATE BRIDGE, San Francisco
Built with Bonds Dated July 1, 1935.
An original bond for the bridge hangs in
the offices of Charles Fish Investments.

ABOUT US

Building and maintaining expertly tailored, tax efficient portfolios for families of wealth is what we do. Achieving this requires many things, but it comes down to this: sustained success requires knowledge, experience, and industry. Passive management (buy and bury) has little chance of success. Charles Fish Investments, Inc. (CFI) believes that only active management with vigilance and hard work will produce superior structure, superior quality, and superior performance.

CFI's clients have benefited from this philosophy since the Company's founding in 1984. After fourteen years in the securities business, founder Charles "Skip" Fish recognized that clients needed individually tailored portfolios for their bond assets. They also needed the expertise and market skills of municipal bond traders and analysts in an arrangement that would align the interests of the client and adviser. Skip believed that the best means of securing these was to found a registered investment management firm.

We are extremely conservative.

We are extremely aggressive.

Although these statements seem to contradict one another, they are in fact complimentary. We want the best of both worlds: portfolios that mitigate risk while providing competitive returns. At CFI, we believe it is not necessary to accept greater risk in order to achieve higher rewards. Instead it requires in-depth research, consultation with the right specialists, and securing accurate first hand information. Wise decisions are informed decisions. Our philosophy, therefore, is to apply that knowledge to each and every portfolio with the intensity required to secure the best results.

OUR COMMITMENT *to* YOU

MISSION

Charles Fish Investments, Inc. ("CFI") is dedicated to the task of securing for each individual account the best possible after-tax performance, net of fees. We accomplish this by actively managing tailored portfolios in strict adherence to the investment objectives of each client. It is our responsibility to actively employ the most suitable assets and strategies in a manner most effective for every individual account. It is our duty to devote the resources, intensity, and vigilance necessary to ensure all clients consistent, superior results.

SERVICE

The fundamental principle on which CFI was founded is that the best interest of the client comes first. Always. You will receive personalized service with the utmost discretion. Our staff is experienced at coordinating with accountants, estate lawyers, planners, and consultants to insure effective asset/liability management. You are entitled to peace of mind. We deliver that peace of mind by building your confidence in our ability to apply our skills and resources to carry out strategies best suited to achieve your goals.

PEOPLE

Our portfolio managers have decades of experience in trading, sales, credit research, underwriting, compliance, and operations. Each continues to invest the time required to maintain the necessary skills and alliances with an extensive network of bond specialists vital to our mission. Our commitment to learning is part of our corporate culture. Please call our office at 949-296-3970 to meet the team or request our SEC disclosure form, ADV Part 2A. Historical performance is available upon request.

CUSTOM DESIGNED PORTFOLIOS

An investment pyramid should truly reflect a client's goals, objectives, time horizons, and risk profile. When it does, it will be unique, one of a kind, and when coupled with open client communication, "tailored."



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INFORMATION

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Charles Fish Investments, Inc. (CFI) founded in 1984, is a Registered Investment Advisor with the Securities and Exchange Commission under the Investment Act of 1940 and notice filed with the California Department of Business Oversight. The firm, which is wholly-owned by its employees, is not affiliated with any broker/dealer. CFI's revenues are derived exclusively from the fees received for the investment advisory and/or management services provided.

