



**CHAMBERLAIN FINANCIAL**  
PLANNING & WEALTH MANAGEMENT

# Independent, Fee-Only Financial Planning, Investment and Wealth Management Services from CFP® Advisors

Serving Santa Cruz, Silicon Valley, Sacramento & Davis, California

Your Life, Your Future, In Balance

GET STARTED

## Services

Financial Planning

Investment Management



The purpose of a financial plan is to help you to better understand where you are now and help you to reach your goals in the future. Your plan includes observations, analysis and recommendations to better balance current needs with future goals. Elements of your financial plan may include some or all of the following topics:

## Our Financial Planning Services

### Current Financial Status

Together, we document your current net worth and your cash flow. We will check the state of your debts and the effectiveness of your income tax planning.

### Estate Planning

Together, we will review your current estate documents to help establish that they are still relevant and complete. Then you can discuss our recommendations with your attorney. If you do not have these important documents we can refer you to a suitable attorney.

### Lifestyle & Asset Protection

We take an in-depth look into your insurance policies and offer recommendations so that you and your family are adequately protected against many perils.

### Retirement Planning

The latest advancements in technology have allowed us to use its projections to help you make the best financial decisions for retirement, funding education or other future goals.

### Investment Review

An overall asset allocation analysis will be provided for your current investments to determine your risk tolerance. Thereafter, we will share a recommendation based on our **investment philosophy**.

### Other Considerations

Any other issue or concern you have should be included in your plan such as education planning, stock options, RSUs, charity or philanthropic desires, annuity review, etc.

The cost of the financial plan can vary based on the complexity and number of elements, but most often



# Financial peace of mind is about more than money

Chamberlain Financial Planning and Wealth Management



## Working Together

First Steps

Who We Work With

Our Investment Approach

Are We a Good Fit?

# 4 Steps to Financial Peace of Mind



Call the office location most convenient for you and have a short, exploratory phone conversation with one of our team members to determine if our working together will be a good fit.

**STEP 3**

Schedule a free, introductory “Get Acquainted” meeting to determine how our team can best help you and discuss the associated cost.

**STEP 4**

Provide some information ahead of time to make our first official meeting efficient. Complete a financial fitness survey to identify primary topics. Participate in the Get Acquainted meeting then decide if we are a good fit, then engage our services.

Working together to craft unique and individualized  
financial solutions across California

Where do you need help?

Why Work With Us



mark is the gold standard in the financial advisory community based on education, testing, experience, and that we are held to a rigorous ethical standard.



## Fiduciary

We abide by the **fiduciary** standard. Meaning we always keep your best interests at the foremost of our thinking. This is much different than 95% of other firms that sell products or insurance. Our firm is “fee-only” in that we are not paid anywhere other than directly from you, our client.



## Experience

Each of our advisors average over 20 years of experience working with clients just like you. We strive to help you make better decisions so that you and your family can live a full and happy life.



## Technology

Our firm utilizes state-of-the-art technologies to analyze, monitor and report on your portfolio and your financial plan. In addition, our technology communicates more efficient updates on the status of your financial plan and your investments.



## Value

We are not paid by commission or a percentage of assets. We charge an hourly rate on project basis and for an ongoing advisory service it is on a fixed fee or sometimes called a retainer basis. Either of which is a great value compared to other financial service companies.

You have questions - our knowledgeable,



**CHAMBERLAIN FINANCIAL**  
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Get started today

Mike Chamberlain

CFP® AIF®

Eugene K. Hsu

CFP®, CFS®, AIF®



**CHAMBERLAIN FINANCIAL**  
PLANNING & WEALTH MANAGEMENT

Nikolara Dunbar-Jansons

FINANCIAL ASSISTANT

Balancing current needs with your financial dreams

Let us be your guide



# Helpful Financial Resources

[Client Information](#)

[FAQ](#)

[Fiduciary Oath](#)

[Client Agreement](#)

[Other Resources](#)

To make our first “Get Acquainted” meeting most efficient we need some basic information about you and your situation. Please complete the following three forms:

## 1. QUESTIONNAIRE

Take advantage of our online [Client Questionnaire](#).

Or [download](#) our Client Questionnaire form. Once completed, please [email](#) or [mail](#) your response back to us.

## 2. FINANCIAL FITNESS SURVEY

The purpose of this survey is to identify those areas where you have concerns and point out where you would benefit from getting some professional assistance.

Download the form that is appropriate for you (pre-retirement or in retirement). If you're married, your spouse should also fill out a copy of the survey, but don't peek over each other's shoulders while completing it, your individual opinions are important.

### **Financial Fitness Survey Pre-Retirement**

[Online pre-retirement survey](#)

[Download a copy of the survey](#)

### **Financial Security Survey In Retirement**

[Online retirement survey](#)

[Download a copy of the survey](#)





**CHAMBERLAIN FINANCIAL**  
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# Helping you reach financial success & to prosper

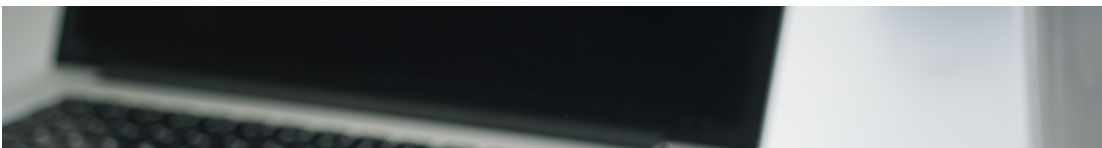
Pinpoint your risk number

## Blog



AUGUST 16, 2018

## Questions You Should Ask a Potential Financial Advisor





JUNE 26, 2018

## Gaining Clarity On The Type of Financial Advisor That Is Best For You

Are you looking for a financial advisor? Here is a checklist of things to consider.



JUNE 21, 2018

## What You Need To Know Before Looking For A Financial Advisor



JUNE 14, 2018

## Fee-only Certified Financial Planners Can Help You in Three Ways

Obtaining competent trustworthy professional advice can be very worthwhile and provide financial peace of mind. Here are three ways fee-only Certified Financial Planners can help you.





MAY 23, 2018

## Education Funding Strategies for the Highly Affluent

Here are a few strategies for the affluent to pay for their kids' or grandkids' education.





Wealth management, like beauty, is defined by the eye of the beholder. But we'd like to offer you a definition that can apply to everyone.

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Let us help you gain greater financial peace of mind today



# Serving Californians from the mountains to the coast

With four convenient locations across California we offer financial solutions that are thoughtful, transparent, and objective.

Interested in working with us? Click below on the location near you for more information.

Santa Cruz

Silicon Valley

Sacramento

Davis

## Get in Touch

Fill out the form below or send us an email at [info@chamberlainfp.com](mailto:info@chamberlainfp.com).

NAME

EMAIL

PHONE

MESSAGE



OFFICE

- Santa Cruz     Silicon Valley     Sacramento     Davis

Send

Investment advisors, like all providers of personal financial services, are now required by law to inform their clients of their policies regarding privacy of client information. Investment advisors have been and continue to be bound by professional standards of confidentiality that are even more stringent than those required by law. Therefore, we have always protected your right to privacy.

**TYPES OF NONPUBLIC PERSONAL INFORMATION WE COLLECT**

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization.

The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It could include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.

**PARTIES TO WHOM WE DISCLOSE INFORMATION**

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law or authorized by you. Permitted disclosures, for instance include, providing information to our employees and, in limited situations, to unrelated third parties who need to know that information to assist us in providing services to you. In all such situations, we stress the confidential nature of information being shared.

We limit access to information only to those who have a business or professional reason for knowing, and only to non affiliated parties as permitted by law or authorized by you. We will also make information available to family members or others but only with your written request.

We never provide your personally identifiable information to mailing list vendors or solicitors under any circumstance.

**PROTECTING THE CONFIDENTIALITY AND SECURITY OF CURRENT AND FORMER CLIENT'S INFORMATION**

We retain records relating to professional services that we provide so that we are better able to assist you with your professional needs in the future and in some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards and State law.

Please call if you have any questions, because your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.





# Clients

## QUARTERLY REPORT

Q2 2019

### ACCOUNT ACCESS

Sharefile

Shareholder's Service Group (SSG)

TD Ameritrade

Powered by Twenty Over Ten