

We are a boutique investment firm focused on actively managed investment strategies.

Our clients include both traditional institutional investors and private client high net worth individuals. Quantum Capital Management is an independent SEC registered investment advisor.



STRATEGIES

Concentrated Equity

QUANTUM MID CAP

Primary Benchmark:

Russell Mid Cap

Inception:

9/30/05

QUANTUM SMALL CAP

Primary Benchmark:

Russell 2000

Inception:

12/31/14

QUANTUM SMID CAP

Primary Benchmark:

Russell 2500

Inception:

12/31/11

QUANTUM MICRO CAP

Primary Benchmark:

Russell Micro Cap

Inception:

3/31/14

Hedged Equity

Primary Benchmark:

Morningstar Long/Short Equity

Secondary Benchmark:

S&P 500

Inception:

12/31/07

International Equity

QUANTUM INTERNATIONAL ADR

Primary Benchmark:

MSCI AC World (ex-US)

Inception:

3/31/10

TEAM

Howard B. Aschwald, CFA CHIEF INVESTMENT OFFICER /
PORTFOLIO MANAGER

Mr. Aschwald is the Chief Investment Officer and leads the U.S. and International Large Cap team. Prior to co-founding Quantum Capital in 1996, Mr. Aschwald started his investment career in 1983 with Morgan Stanley. He then joined the investment management firm of Stein Roe as a technology analyst and portfolio manager. He graduated from the U.S. Naval Academy at Annapolis and recently retired as a Captain in the Naval Reserve. Mr. Aschwald has two MBA's from Golden Gate University. He is a member of the CFA Institute and the CFA Society of San Francisco.

Kevin T. Carrington, CFA 

RESEARCH ANALYST

in 1998. He is a member of the CFA Institute and the CFA Society of San Francisco.

Timothy D. Chatard, CFA

DIRECTOR OF RESEARCH/PORTFOLIO
MANAGER



Mr. Chatard is the Director of Research and leads the Concentrated Equity team. Prior to joining Quantum Capital, Mr. Chatard held positions as an analyst at Morgan Stanley, portfolio manager at Franklin Templeton Group, portfolio manager at Sterling Johnston Capital Management, and portfolio manager at Tano Capital (the family office of the Franklin Templeton founders). Mr. Chatard graduated from the University of California, Berkeley in 1991, and received his MBA from Harvard Business School in 1996. He is a member of the CFA Institute and the CFA Society of San Francisco.

John D. Clifford, CFP®

CHIEF OPERATIONS OFFICER/HEAD
TRADER



Mr. Clifford is the Chief Operations Officer and Head Trader. Prior to joining Quantum Capital, Mr. Clifford was the Head Trader and Market Analyst for Catalyst Investment Management for ten years. He then moved to the sell side for two years at Jones Trading, LLC as an equity and options sales trader covering hedge fund and mutual fund clients. Both at Catalyst and at Jones, Mr. Clifford specialized in small and mid-cap block trading and sourcing natural liquidity in an effort to minimize market impact for less liquid stocks. Professional areas of interest include operational efficiency, equity market structure, and the role of high frequency trading and dark pools. Mr. Clifford is a CERTIFIED FINANCIAL PLANNER™ professional. Mr. Clifford graduated from UC Davis with a BS in Biochemistry.

Peter M. Coen

DIRECTOR, OPERATIONS AND TRADING



Mr. Coen is the Director of Operations and Trading. Prior to joining Quantum Capital, Mr. Coen held positions in operations, trading, and strategic planning and analysis at Allianz Global Investors, Natixis Global Asset Management, and Ashfield Capital Partners. Mr. Coen graduated from University College Dublin, Ireland with a BA in History.

Peter C. Sinatra

CHIEF EXECUTIVE OFFICER



Mr. Sinatra has served as CEO of Quantum Capital since 2010 and as a member of the Board of Directors of Sterling Bank & Trust, FSB (NASDAQ: SBT) since 2004. He was Chairman of Sterling's Compensation Committee from 2008 to 2017. Mr. Sinatra has also served as a Managing Partner with The Seligman Group Family Office since 2004. He is a member of Seligman's Investment Committee, managing traditional and publicly and privately held investments in the community banking, commercial real estate, aerospace, film, and asset

Society, and the Investment Management Consultants Association (IMCA). Mr. Sinatra received his BA in Mathematics from College of the Holy Cross.

Xuan Wang

DIRECTOR, CLIENT RELATIONS



Ms. Wang has over eight years of domestic and international experience in the professional roles of Client Relations, Project Management and Operations. Ms. Wang started her career in 2006 as a Project Assistant for the Investment Informational program for BOCO Inter-Telecom, Co. and later as an Executive Assistant at Guangzhou Hangxin Aviation Technology, where her responsibilities included vendor relationship and project management. She was a liaison coordinating military contracts with corporate and governmental agencies in Guangzhou, China. Additionally, she supported and managed vendor databases and client relationships for the Port of Oakland. Ms. Wang is responsible for managing and developing the firm's domestic and international client relationships. She has received numerous accolades for her outstanding level of service in addressing clients' diversified needs. She also works closely with the Portfolio Management investment team. Ms. Wang graduated from Xi'an University of Science Technology in Shaanxi, China with a BS in Communication Engineering. Ms. Wang is a member of the Association of Certified Fraud Examiners. She is fluent in Mandarin and English.

David Xu, CWS®

MANAGING DIRECTOR



Mr. Xu started his career in the financial industry with Charles Schwab in 2000 in San Francisco, serving investors mainly from countries of the Asia Pacific region. Mr. Xu served as a Vice President/Financial Consultant to grow the business of the affluent investors from this region, assisting investors with financial advice on investments, estate planning, gifting, income tax, retirement. Mr. Xu traveled to Taiwan, Hong Kong, and China to conduct investment seminars, meet with prospective clients, and promote Schwab's products and services. He managed more than 300 accounts in his active practice with a total of \$600 million in assets under management (AUM). He ranked #1 in Schwab International and won Chairman's Club in 2015. Mr. Xu graduated from Shanghai Foreign Language Institute and served as a Chinese-French interpreter in many international economic projects, including as a Chinese-French interpreter for André; Kofingba, the President Of Central Africa Republic. At the end of his tenure of office, he received the medal of Grand Officer, one of the highest honors of his nation in recognition of his outstanding service. Mr. Xu is a Certified Wealth Strategist (CWS) and holds Series 7, 63 and 66 licenses. He graduated with a Master's Degree from the University of Bangui. He is fluent in English, French and Chinese.

Daniel C. Young, CFP®, CFE

CHIEF COMPLIANCE OFFICER



Mr. Young is the Chief Compliance Officer. Mr. Young has over twenty-five years of experience in finance, economics and portfolio management as a Senior Manager at Price Waterhouse Coopers, and as a Product and Portfolio Manager for multi-asset strategies at WHV Investments. He has developed assets internationally and is a featured speaker on investments, anti-fraud, and macroeconomic topics globally. He is a Research Analyst on the U.S. and International Large Cap team. Mr. Young holds a BS in Accounting and Economics from

CONTACT

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