

BUILDING A BRIGHTER
FINANCIAL FUTURE,
TOGETHER

SERVICES



Wealth Management

Work with our team of experts to



Investment Banking

Identify and pursue strategic alternatives, enhance shareholder



Corporate Services

Develop a customized retirement plan in any business or industry with

explore the best ways to ensure
security and growth of your assets

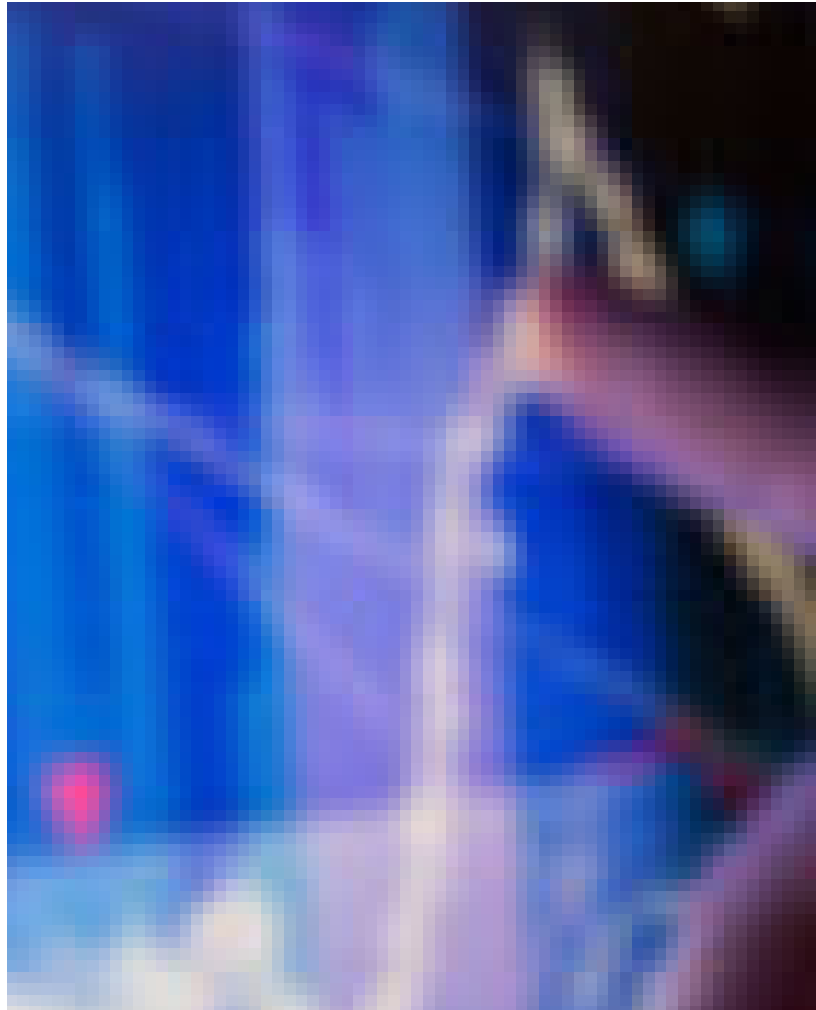
value, raise capital, and develop new
ideas and deeper perspectives
on companies and industries

comprehensive fiduciary solutions

ABOUT US

Register Financial provides wealth management services through Register Financial Associates, Inc., an independent broker-dealer registered with the Securities and Exchange Commission (SEC) and the Financial Industry Regulatory Authority (FINRA) and Register Financial Advisors, LLC, a Registered Investment Advisor (RIA) registered with the Securities and Exchange Commission (SEC).

Headquartered in Atlanta, GA, Register Financial was founded in 1992 as an independent firm that offers both traditional and nontraditional approaches to the management of assets. We serve a well-established clientele of sophisticated investors who have adopted a prudent approach to wealth preservation and increasing asset value. We provide an environment rich with experienced investment professionals, creativity and unique investment strategies designed to meet your investment objectives.



"Without a saving faith in the future, no one would ever invest at all. To be an investor, you must be a believer in a better tomorrow."

- Benjamin Graham -

OUR MISSION



Independence

Being an independent firm allows us to provide the flexibility and personalized service expected from a smaller organization while still offering a full complement of products and services found at any major Wall Street firm. We strive to uphold the highest standards of objectivity for our clients, without many of the constraints on flexibility associated with larger investment firms. We act quickly and decisively, without any bureaucratic interference, with the sole goal of providing exceptional service and investments that suit your individual style and objective.



Innovation

The Register Financial team understands the power and importance of knowledge which is one of the reasons why we invest heavily in technology and information services. We use a broad and diverse network of information sources going far beyond the traditional Wall Street sources. In addition to in-house research conducted by Register Financial, we also utilize numerous sources of outside research. Multiple services are also used in the planning, investment and performance process and reporting, and to offer a secured web portal allowing clients to review their account activity online.



Integrity

Over the years, we have taken great care to assemble a diverse team of financial professionals who possess individual talents and the common vision of placing the client's interest first. Our associates have outstanding credentials and have chosen to affiliate with Register Financial because of our distinctive business environment and integrity. We employ experienced professionals, almost all of whom have weathered difficult market periods. Many have held leadership positions in both major and regional investment firms as well as within their communities. We are honored to share the collective experience and professional attitude of our entire team with every Register Financial client.

DISCLAIMER:

Information contained herein has been derived from sources believed to be reliable but is not guaranteed as to the accuracy and does not purport to be a complete analysis of the security, company, or industry involved. Reports are not to be construed as an offer to sell or solicitation of an offer to buy any security. Register Financial Associates, Inc., its principals, directors, and other employees may hold or take long or short positions in the securities we follow. The information and statistics in our reports have been obtained from sources we believe to be reliable but are not guaranteed by us to be accurate or complete. Investors in certain states may be prohibited from purchasing some over-the-counter securities mentioned herein. Any and all earnings, projections, estimates, and opinions assume certain market conditions and industry developments that can change rapidly. Opinions expressed are subject to change without notice. Advisory services offered through Register Financial Advisors, LLC | Securities offered through Register Financial Associates, Inc.

