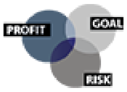
We provide independent investment advisory services, compliance oversight, and retirement plan designs to employers through customized program engagements, fully integrated with each client's total rewards strategy.

Independent Advice in Four Key Areas

As an Investment Advisor registered with the Securities Exchange Commission, we provide transparent ERISA 3(21) Investment Advisory services to employers. As part of our service model, we deliver Fiduciary Risk Management and Oversight, Plan Design Consulting, and Retirement Plan customization. Clients often partner with us on project-specific engagements to help them review investment providers record-keepers.

Investment Advisory and Fiduciary Services

- Serve as your ERISA 3(21) Fiduciary
- Provide risk insight company and committee
- Qualitative and quantitative investment reviews
- Independent and unbiased recommendations



Provider Review, RFP, and Fee/Service Negotiations

- Your advocate in leveraging provider resources and negotiating fees
- Conduct RFP/RFI to identify market-leading providers and best-fit partners

Are your participants experiencing a successful retirement program? >

- Oversee all aspects of plan conversions as an extension of your HR/Benefits team

How well do you measure your fiduciary risks? >

Plan Design Consulting, Optimization, and Benchmarking

- Focus on the company's total rewards strategy
- Optimize dollars to maximize participation and retirement readiness
- Benchmark to industry standards to attract and retain top talent

Are your plan costs in-line with the industry? >

Customized Services

- Supplemental plan considerations like non-qualified deferred compensation plans
- Project engagement during mergers and acquisitions, plan terminations, acquisitions, etc.

Are you satisfied with your current advisor? >

Our Approach

Assist plan fiduciaries in understanding their roles and responsibilities.

Establish and promote process-centric plan governance committees to maximize retirement savings outcome for plan participants, while minimizing risks.

Align our clients with "best fit" providers to deliver outstanding investment platforms, and administrative and participant services at a competitive cost.

Transform our clients' plans to meet "best practices" using an integrated service model approach to plan management - from plan design consulting to operational and compliance review and ongoing investment due diligence.

Educate employees and promote plan benefits to help maximize retirement plan savings for participants. Our ultimate goal is for participants to have a successful retirement experience.

Team strategy focus on partnership with fiduciary committees