



STURGEON VENTURES

THE PIONEER OF REGULATORY INCUBATION & WELL
ESTABLISHED REGULATORY HOSTING SOLUTION

ABOUT REGULATORY UMBRELLA REGULATORY INCUBATION THIRD PARTY FUND MANAGEMENT OTHER SERVICES KID REPORTS PRESS CONTACT

Founded in 1998, Sturgeon Ventures is Authorised and Regulated by the FCA and a Registered Investment Advisor with the SEC. Sturgeon Ventures is the most established regulatory incubator for start-ups, coining the phrase Regulatory Incubator in 2001. We are a trusted partner, providing nurturing and bespoke solutions, including hosting platform, regulatory umbrella and regulatory ecosystem services.

Since 2000 we have incubated many start up companies including corporate finance boutiques, capital introduction companies and family office advisors. We have been a hosted solution for a number of different fund and managed account strategies as the portfolio manager. We assist through FCA application, and continue with compliance monitoring and/or work with many known and reputable compliance advisory firms.

Sturgeon Ventures was the pioneer of the wholesale use of the appointed representative. Until 2003, the appointed representative was used by IFAS and insurance companies to promote their services for retail clients.



**STURGEON CELEBRATES 20 YEARS AS THE PIONEER OF
REGULATORY INCUBATION**

AN INTERVIEW WITH SARAH WHITEHEAD

How Sarah Whitehead found Sturgeon Ventures



Sturgeon Stories

How Sarah Whitehead found Sturgeon Ventures



JUN
14

STURGEON VENTURES LLP CHARITY OF 2019

We would like to feature our Charity of the Year, to share the work of people who help those in the City we work in. On the basis that you all work in Financial Services, you take it for granted that you have the skills to manage your personal finances...

MAY
29

SEONAI D MACKENZIE – FINALIST FOR THE WOMEN IN FINANCE AWARDS

Sturgeon Ventures are delighted that Seonaid Mackenzie has been shortlisted in the Ambassador of the Year category for the Women in Finance Awards 2019. "...This category celebrates the individual who has achieved a long-term impact on the way people and organisations think about and practice gender diversity. The Ambassador of...

MAY
22

FCA REVIEW OF PRINCIPAL FIRMS – INVESTMENT MANAGEMENT

The FCA yesterday published its Review of principal firms in the investment management sector. This report followed the FCA's very detailed review of the investment management sector in 2017, a process involving most participants in the industry. As one of the Principal Firms to participate, Sturgeon Ventures responded to information requests...

2017

WOMEN IN FINANCE CHARTER - ONE YEAR ON

Almost half of UK financial services staff now covered by ground breaking Women in Finance Charter.

2016

STURGEON VENTURES SHORTLISTED BY HFM ASIA

We are delighted to have been recognised for our offering in this our 18th year of Sturgeon Ventures history, since the launch of our Hong Kong Solution offering known as Sturgeon Hong Kong.

2016

STURGEON VENTURES AWARDED MULTIPLE AWARDS AT THE 11TH ANNUAL ACQ5 GLOBAL AWARDS





THOMSON REUTERS Seonaid discusses the benefits of Regulatory Hosting for Emerging Managers and Advisors



THOMSON REUTERS [Click here to listen to Thompson Reuters' Podcasts - How to Start a Hedge Fund](#)



WOMEN PRESIDENTS' ORGANIZATION.



GlobalLawExperts®
Recommended Attorney

Sturgeon Ventures LLP is authorised and regulated by the Financial Conduct Authority (FCA) (Registration Number 452811).
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Top 5 Execution Venues Report for 2018-2019

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