



# OUR MISSION: MAKE PORTFOLIOS SMARTER

Focused on the Evolution of Adaptive Correlation

[FIND OUT HOW \(/METHOD\)](/METHOD)

## OUR PRODUCTS SEEK TO:

Enhance performance in up markets by playing offense.

Reduce losses in down markets by playing defense

Explore our Products & Portfolios:

FUNDS  
([HTTP://WWW.CAVALIERFUNDS.COM](http://www.cavalierfunds.com))

SMA'S  
([HTTP://WWW.CAVALIERSMAS.COM](http://www.cavaliersmas.com))

SMART PORTFOLI  
([HTTP://WWW.SMARTPORTFOLI](http://www.smartportfoli.com))

## OUR BELIEFS:

1. Clients want benchmark-like returns in up markets, and protection in down markets.
2. Behavioral finance confirms clients want it all, but experience:
  - a. greed during over-performing market periods
  - b. fear from rapidly falling market conditions

**Cavalier offers products that assure Financial Advisors deliver what clients expect.**



Jul 2, 2019

## STOCKS ENJOY THEIR BEST FIRST HALF IN OVER 20 YEARS (/BLOG/STOCKS-ENJOY-THEIR-BEST-FIRST-HALF-IN-OVER-20-YEARS)

Read More → (/blog/stocks-enjoy-their-best-first-half-in-over-20-years)

(/blog/stocks-enjoy-their-best-first-half-in-over-20-years)



Jun 25, 2019

## THE FED IS SPLIT 50-50 ON 1 OR 2 RATE CUTS IN 2019 (/BLOG/THE-FED-IS-SPLIT-50-50-ON-1-OR-2-RATE-CUTS-IN-2019)

Read More → (/blog/the-fed-is-split-50-50-on-1-or-2-rate-cuts-in-2019)

(/blog/the-fed-is-split-50-50-on-1-or-2-rate-cuts-in-2019)



Jun 18, 2019

## ALL EYES (AND EARS) ARE ON THE FEDERAL RESERVE THIS WEEK (/BLOG/ALL-EYES-AND-EARS-ARE-ON-THE-FEDERAL-RESERVE-THE-WEEK)

Read More → (/blog/all-eyes-and-ears-are-on-the-federal-reserve-this-week)

(/blog/all-eyes-and-ears-are-on-the-federal-reserve-this-week)



Jun 12, 2019

## Q&A - HENRY MA (DEFENSIVE MOVEMENT) (/BLOG/QA-HENRY-MA-DEFENSIVE-MOVEMENT)

Read More → (/blog/qa-henry-ma-defensive-movement)

(/blog/qa-henry-ma-defensive-

The market now seems satisfied with existing tariffs and overly hopeful that a rate cut will boost the economy. T...

<https://t.co/mYFPjVt6dL>  
(<https://t.co/mYFPjVt6dL>)

6 days ago

(<https://twitter.com/CavalierInv/stat>

Stocks enjoy their best first half in over 20 years... #stocks

([#/search?q=%23stocks](https://twitter.com/#!/search?q=%23stocks)) #best

([#/search?q=%23best](https://twitter.com/#!/search?q=%23best)) #first

([#/search?q=%23first](https://twitter.com/#!/search?q=%23first)) #half

([#/search?q=%23half](https://twitter.com/#!/search?q=%23half)) #20years

([#/search?q=%2320years](https://twitter.com/#!/search?q=%2320years)) #china

([#/search?q=%23china](https://twitter.com/#!/search?q=%23china)) #investing

([#/search?q=%23investing](https://twitter.com/#!/search?q=%23investing))

<https://t.co/EiRnOs7M7K>

(<https://t.co/EiRnOs7M7K>)

6 days ago

(<https://twitter.com/CavalierInv/stat>

movement)



Jun 11, 2019

## SWITCH FROM TRADE FEARS TO RATE-CUT HOPES LIFTS STOCKS (/BLOG/SWITCH-FROM-TRADE-FEARS-TO-RATE-CUT-HOPES-LIFTS-STOCKS)

Read More → (/blog/switch-from-trade-fears-to-rate-cut-hopes-lifts-stocks)

(/blog/switch-from-trade-fears-to-rate-cut-hopes-lifts-stocks)



May 29, 2019

## MARKET INDEXES ARE DOWN IN MAY OVER SEVERAL GLOBAL CRISES (/BLOG/FIRST-QUARTER-SALES-AND-EARNINGS-DELIVERED-POSITIVE-SURPRISES-NAHZP)

Read More → (/blog/first-quarter-sales-and-earnings-delivered-positive-surprises-nahzp)

(/blog/first-quarter-sales-and-earnings-delivered-positive-surprises-nahzp)



May 21, 2019

## FIRST-QUARTER SALES AND EARNINGS DELIVERED POSITIVE SURPRISES (/BLOG/FIRST-QUARTER-SALES-AND-EARNINGS-DELIVERED-POSITIVE-SURPRISES)

Read More → (/blog/first-quarter-sales-and-earnings-delivered-positive-surprises)

(/blog/first-quarter-sales-and-earnings-delivered-positive-surprises)



May 14, 2019

## MARKET CORRECTIONS GIVE INVESTORS NEW BUYING OPPORTUNITIES (/BLOG/MARKET-CORRECTIONS-GIVE-INVESTORS-NEW-BUYING-OPPORTUNITIES)

Read More → (/blog/market-corrections-give-investors-new-buying-opportunities)

(/blog/market-corrections-give-

The Fed is Split 50-50 on 1 or 2 Rate Cuts in 2019...

<https://t.co/4GMFhQetga>  
(<https://t.co/4GMFhQetga>)

A week ago

(<https://twitter.com/CavalierInv/stat>)

Do you think the financial news media has the ability to influence in the way Louis describes here?

<https://t.co/sOuTY2obfM>  
(<https://t.co/sOuTY2obfM>)

3 weeks ago

(<https://twitter.com/CavalierInv/stat>)

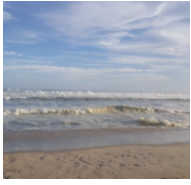
<https://t.co/xUsJefHEmN>  
(<https://t.co/xUsJefHEmN>)

<https://t.co/dC5tsmTfTD>  
(<https://t.co/dC5tsmTfTD>)

A month ago

(<https://twitter.com/CavalierInv/stat>)

investors-new-  
buying-  
opportunities)



May 7, 2019

## EARNINGS SURPRISES AND SHARE BUY-BACKS HOLD PROMISE FOR MAY (/BLOG/EARNINGS- SURPRISES-AND-SHARE-BUY- BACKS-HOLD-PROMISE-FOR-MAY)

(/blog/earnings-  
surprises-and-share-  
buy-backs-hold-  
promise-for-may)

Read More → (/blog/earnings-surprises-and-share-buy-backs-  
hold-promise-for-may)



Apr 30, 2019

## EARNINGS MAY EKE OUT A SMALL GAIN IN THE FIRST QUARTER! (/BLOG/EARNINGS-MAY-EKE-OUT-A- SMALL-GAIN-IN-THE-FIRST- QUARTER)

(/blog/earnings-may-  
eke-out-a-small-  
gain-in-the-first-  
quarter)

Read More → (/blog/earnings-may-eke-out-a-small-gain-in-the-  
first-quarter)

# OVER A CENTURY OF EXPERIENCE



GREG RUTHERFORD, CFP  
(/EXPERIENCE/9TELBEPI9RCJBDRCZQQ3VZIDG9ML92)

Greg's 18 years of experience as a Financial Advisor is a strong assurance that Cavalier Investments recognizes the needs of advisors. Greg was instrumental in the design of the Cavalier Mutual Fund strategies and for the majority of the relationships with the Fund's Signal providers and Sub-advisors. Prior to founding Cavalier and

(/experience/9telbepi9rcjbdrczqq3vzidg9ml92) RiskX, Greg was the co-founder and President of Tagge\* Rutherford Financial Group, a financial services firm founded in 1992. Greg holds a designation as a Certified Financial Planner™ and received a Bachelor of Science in Business Administration from the University of Nebraska – Omaha and a Master of Business Administration from Golden Gate University. Greg resides in Carmel, California with his wife, Jennifer and their children. He has been involved on many charitable boards and community organizations. Greg continues to find time to coach youth sports including baseball, basketball and football and enjoys the game of golf although it provides a considerable amount of frustration.

Feb 19, 2018



SCOTT WETHERINGTON, CFA®  
(/EXPERIENCE/0508EF8W6806MIWQCBXMOM83S4T9TN)

Scott is an accomplished member of the Cavalier team with 25 years of experience in portfolio management, research and investment strategies. Scott serves as Chief Investment Officer and is responsible for leading the research and investment strategies for Cavalier's Mutual Funds. He also serves as Portfolio Manager for Cavalier's Tactical

(/experience/o508ef8w6806miwqcbxmom83s4t9tn) Cavalier Tactical Economic Fund, Cavalier Hedged High Income Fund and the NE Index Fund. Prior to Cavalier, Scott served as Senior Portfolio Manager and Chief Investment Strategist for Linder Capital Advisors. Scott is a frequent speaker at conferences on Portfolio Management and has been honored as a guest speaker at Georgia Institute of Technology. Scott earned his Bachelor of Business Administration at James Madison University. He resides in Georgia with his family. Scott also holds the Chartered Financial Analyst designation (CFA) and is a member of the Atlanta Society of Finance and Investment Professionals.

Feb 19, 2018



KEVIN HANNEY, CIMA  
(/EXPERIENCE/JV3SCMZPYXFJ9D81H083P3KNJLU7N4)

Director of National Sales for Cavalier Investments and Co-Founder of the Distribution Cooperative; has over 30 years' experience in the investment management industry. Kevin has led national sales and relationship management teams for multi-billion dollar organizations. His leadership extends across multiple product offerings including

(/experience/jv3scmzpyxfj9d81h083p3knjlu7n4) managed accounts, mutual funds and annuities. Kevin's tremendous relationship skills have afforded him the unique opportunity to work with all levels of industry personnel including institutional leadership, financial advisors and investment managers. He has built hundreds of significant relationships in the wire house,

independent broker dealer, bank, investment platform, RIA and insurance networks. Kevin is a graduate of Colgate University earning a BA in Economics and received his MBA from Pace University in New York City. Kevin enjoys golf and is an avid sports fan along with being a proud father of four children that have graduated or are currently attending universities consisting of Notre Dame, Florida and Florida State.

Feb 19, 2018

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