
**Investment
Philosophy**

Code of Ethics

Management

What Sets Us Apart

FAQ

Contact Us

Seaway Advisors

Seaway Advisors is an independent registered investment advisor. What that means for the individual or any other potential client is:

First, we are not intertwined as part of an integrated financial services firm. All we do is simply manage investment portfolios on behalf of our clients.

Secondly, we are entrusted to provide investment management services for which we are compensated based on a percentage of the assets. We are not compensated based on a commission or selling a product; but by acting in the best interests of our clients.

Choosing an investment advisor is a highly personal and important decision. **Trust, confidence, and service** are key factors.

TRUST – Choosing an investment advisor involves sharing your financial goals and putting your financial future in the hands of another. It should be a firm whose integrity and character stand apart. The investment manager must place the interests of the client first and foremost. Because we are compensated based on a percentage of the assets we manage, we have the same incentive as our clients – to consistently protect and increase their wealth. The management of Seaway Advisors adheres to the [ethical standards](#) of the [CFA Institute](#) and the [NYSSCPA](#). **Clients – First and Always!**

CONFIDENCE – Seaway Advisors has the experience and capability needed to assist our clients through challenging economic times. We have [over 60 years of investment experience](#) managing assets through bull and bear markets. Our steadfast discipline has helped clients prosper through difficult periods.

SERVICE – Seaway Advisors believes that we work as partners with our clients as part of their financial team. Partnership and team are phrases we take to heart. As a partnership, we share a common goal – the clients' financial well being. As part of a team, we will do our part to the utmost and assist whenever called upon.

Seaway Advisors, Ltd.

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